

Amendment Number 1

Project Name: Wastewater System Improvements

This amendment is made and entered into by and between the State of Oregon, acting by and through the Oregon Infrastructure Finance Authority of the Oregon Business Development Department (“OBDD”), and the City of John Day (“Recipient”), and amends the Grant Contract between Recipient and OBDD, Project Number P18011, dated 15 April 2019, (“Contract”) for the above-named Project. Capitalized terms not defined in this amendment have the meanings assigned to them by the Contract.

Recital: The purpose of this amendment is to increase the grant amount due to unbudgeted engineering expenses the USDA flagged in the preliminary engineering report.

The parties agree as follows:

1. Amend the first sentence of Section 2 of the Contract as follows (deletion in ~~striketrough~~; addition in double underline):

In reliance upon Recipient’s Application and Certification of Compliance with State and Federal Laws and Regulations and Certification Regarding Lobbying as described in Exhibit B and Exhibit C, respectively, and subject to the terms and conditions of this Contract, OBDD agrees to provide Recipient funds in the amount of ~~\$196,500~~ \$235,500 (“Grant Funds”), the use of which is expressly limited to the Project and the activities described in Exhibit D.

2. Remove Exhibit E (Project Budget) of the Contract and replace it with the following new Exhibit E:

EXHIBIT E - PROJECT BUDGET

	OBDD Funds	Other / Matching Funds
Activity	Approved Budget	Approved Budget
Engineering	\$235,500	\$138,500
Environmental Review	0	30,000
Grant Administration	0	25,000
Legal	0	6,500
Total	\$235,500	\$200,000

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3. Add a new Exhibit F (Information Required by 2 CFR § 200.331(a)(1)) to show required information for this amendment:

EXHIBIT F - INFORMATION REQUIRED BY 2 CFR § 200.331(A)(1)

Federal Award Identification:

- (i) Subrecipient* name (which must match registered name in DUNS): JOHN DAY, CITY OF
- (ii) Subrecipient's DUNS number: 03-080-5402
- (iii) Federal Award Identification Number (FAIN): B-19-DC-41-0001
- (iv) Federal Award Date: 4 Sep 2019
- (v) Sub-award Period of Performance Start and End Date: 24 months from Contract execution
- (vi) Total Amount of Federal Funds Obligated by this Contract Amendment: \$39,000
- (vii) Total Amount of Federal Funds Obligated by this initial Contract and any amendments: \$235,500
- (viii) Total Amount of Federal Award to the pass-through entity: \$13,277,173
- (ix) Federal award project description: The FFY 2019 State Community Development Block Grant Program funds will be awarded through a competitive application process to rural communities in Oregon for the following project types: Public Works Projects, Community Facilities, Owner-occupied Housing Rehabilitation and Microenterprise Assistance. CDBG projects will meet the national objective of benefitting low- and moderate-income persons or an urgent need.
- (x) Name of Federal awarding agency, pass-through entity, and contact information for awarding official of the Pass-through entity:
 - (a) Name of Federal awarding agency: U.S. Department of Housing and Urban Development
 - (b) Name of pass-through entity: Oregon Business Development Department
 - (c) Contact information for awarding official of the pass-through entity: Ed Tabor, Programs & Incentives Manager, 503-949-3523
- (xi) CFDA Number and Name: 14.228 Community Development Block Grant
Amount: \$235,500
- (xii) Is Award R&D? No
- (xiii) Indirect cost rate for the Federal award: N/A

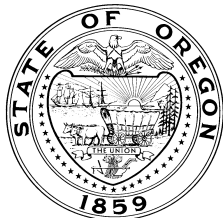
*For the purposes of this Exhibit F, "Subrecipient" refers to Recipient and "pass-through entity" refers to OBDD.

SIGNATURE PAGE FOLLOWS

OBDD will have no obligation under this amendment, unless within 60 days after receipt, the Recipient delivers to OBDD the following items, each in form and substance satisfactory to OBDD and its Counsel:

- (i) this amendment duly executed by an authorized officer of the Recipient; and
- (ii) such other certificates, documents, opinions and information as OBDD may reasonably require.

Except as specifically provided above, this amendment does not modify the Contract, and the Contract shall remain in full force and effect during the term thereof. This amendment is effective on the date it is fully executed and approved as required by applicable law.



STATE OF OREGON
acting by and through its
Oregon Infrastructure Finance Authority
of the Business Development Department

775 Summer Street NE Suite 200
Salem OR 97301-1280
Phone 503-798-5076

By: _____
Chris Cummings, Interim Director
Business Oregon

Date: _____



CITY OF JOHN DAY

450 E Main Street
John Day OR 97845-1238
Phone 541-575-0028

By: _____
The Honorable Ron Lundbom
Mayor of John Day

Date: _____

APPROVED AS TO LEGAL SUFFICIENCY IN ACCORDANCE WITH ORS 291.047:

Not required by OAR 137-045-0050